## SEC Form 4

FORM 4

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

Check this box if no longer subject to Section 16. Form 4 or Form 5
obligations may continue. See
Instruction 1(b).
STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

| OMB APPROVAL |  |
| :--- | ---: |
| OMB Number: $3235-0287$ <br> Estimated average burden  <br> hours per response: 0.5 |  |

1. Name and Address of Reporting Person*
Lurker Nancy

| 2. Issuer Name and Ticker or Trading Symbol pSivida Corp. [ PSDV ] | 5. Relationship of Reporting Person(s) to Issuer (Check all applicable) |  |  |
| :---: | :---: | :---: | :---: |
|  | X | Director | 10\% Owner |
| 3. Date of Earliest Transaction (Month/Day/Year) 12/15/2017 | X | Officer (give title below) | Other (specify below) |
|  |  | President and CEO |  |
| 4. If Amendment, Date of Original Filed (Month/Day/Year) | 6. Individual or Joint/Group Filing (Check Applicable Line) |  |  |
|  | X | Form filed by One | ing Person |
|  |  | Form filed by Mo Person | One Reporting |

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. <br> Transaction Code (Instr. 8) |  | 4. Securities Acquired (A) or Disposed Of (D) (Instr. 3, 4 and 5) |  |  | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. <br> Ownership <br> Form: Direct <br> (D) or <br> Indirect (I) <br> (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  |  |  | Code | V | Amount | (A) or (D) | Price |  |  |  |

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. <br> Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) |  | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. <br> 3, 4 and 5) |  | 6. Date Exercisable and Expiration Date (Month/Day/Year) |  | 7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4) |  | 8. Price of Derivative Security (Instr. 5) | 9. Number of derivative Securities Beneficially Owned Following Reported Transaction (s) (Instr. 4) | 10. <br> Ownership <br> Form: <br> Direct (D) <br> or Indirect <br> (I) (Instr. 4) | 11. Nature of Indirect Beneficial Ownership (Instr. 4) |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  |  |  |  | Code | V | (A) | (D) | Date Exercisable | Expiration Date | Title | Amount or Number of Shares |  |  |  |  |
| Restricted <br> Stock <br> Units | (1) | 12/15/2017 |  | A |  | 120,000 |  | (2) | (2) | $\begin{aligned} & \text { Common } \\ & \text { Stock } \end{aligned}$ | 120,000 | \$0.00 | 120,000 | D |  |
| Stock <br> Option (right to buy) | \$1.77 | 12/15/2017 |  | A |  | 240,000 |  | (3) | 06/27/2027 | Common Stock | 240,000 | \$0.00 | 240,000 | D |  |

## Explanation of Responses:

1. Each restricted stock unit represents a contingent right to receive one share of the Company's common stock.
2. The restricted stock units will vest in three equal annual installments beginning June 27, 2018. Vested shares will be delivered to the reporting person within 30 days following each respective vesting date.
3. The option to purchase will vest and become exercisable in three equal annual installments beginning June 27, 2018.

## Remarks:



